

Executive Risk Indemnity Inc.  
Home Office  
Wilmington, Delaware 19805-1297

Administrative Offices/Mailing Address:  
82 Hopmeadow Street  
Simsbury, Connecticut 06070-7683  
(Herein referred to as Underwriter)



**APPLICATION FOR DIRECTORS AND OFFICERS LIABILITY INSURANCE  
INCLUDING COMPANY REIMBURSEMENT  
WITH INITIAL PUBLIC OFFERING SUPPLEMENTAL SECTION**

**NOTICE: THE POLICY FOR WHICH APPLICATION IS MADE APPLIES, SUBJECT TO ITS TERMS, ONLY TO "CLAIMS" FIRST MADE DURING THE "POLICY PERIOD," OR, IF PURCHASED, ANY EXTENDED REPORTING PERIOD OR DISCOVERY PERIOD. THE LIMIT OF LIABILITY AVAILABLE TO PAY DAMAGES OR SETTLEMENTS WILL BE REDUCED BY "DEFENSE EXPENSES," AND "DEFENSE EXPENSES" WILL BE APPLIED AGAINST THE RETENTION. THE UNDERWRITER WILL HAVE NO DUTY UNDER THE POLICY TO DEFEND ANY "CLAIM." READ THE ENTIRE APPLICATION CAREFULLY BEFORE SIGNING.**

1. a) Name of Applicant: \_\_\_\_\_  
(Whenever used in this Application, the term "Applicant" shall mean the Parent Corporation.)
  - b) Principal Address: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP Code: \_\_\_\_\_
  - c) Name and Title of the officer of the Applicant designated as the representative to receive all notices from the Underwriter on behalf of all person(s) and entity(ies) proposed for this insurance:  
\_\_\_\_\_
  - d) Please identify the Applicant's top environmental officer. Provide a copy of his or her resume, and describe his or her responsibilities, including any reporting obligations to the Board of Directors. (Please attach a separate sheet if necessary.)  
\_\_\_\_\_  
\_\_\_\_\_
2. Have any of the Applicant's current D&O carriers indicated an intent not to offer renewal terms?  Yes  No  
If "Yes," please provide details as an attachment.
3. a) Have there been any changes in the Board of Directors or Senior Management of the Applicant within the past three (3) years for reasons other than death or retirement?  Yes  No  
If "Yes," please explain.  
\_\_\_\_\_  
\_\_\_\_\_
- b) Has the Applicant changed outside auditors in the last three (3) years?  Yes  No  
If "Yes," please explain.  
\_\_\_\_\_  
\_\_\_\_\_
- c) Have the outside auditors stated there are no material weaknesses in the Applicant's system of internal controls?  Yes  No  
If "No," please provide the latest CPA letter to management and management's response.