



Mortgage Broker Supplement

1) Of all mortgages in the past twelve months, indicate the breakdown of services provided:  
 Origination Only \_\_\_\_%      Underwritten \_\_\_\_%      Package and Sell \_\_\_\_%  
 Servicing \_\_\_\_%      Other \_\_\_\_% (Explain): \_\_\_\_\_

2) a) Does Applicant hold the appropriate licenses in states which require Mortgage Brokers/Correspondents to be licensed?       Yes    No.  
 b) In which states is the applicant licensed? \_\_\_\_\_  
 c) In which states does the applicant operate where not licensed? \_\_\_\_\_

3) a) Does applicant hold funds in escrow?       Yes    No.  
 b) If yes, does applicant hold these funds in a fiduciary account?       Yes    No.  
 c) Limit of liability for Fidelity Bond \_\_\_\_\_

4) Are appraisals performed by in house appraisers?       Yes    No.

5) What procedures does the applicant have in place to assure timely and proper disclosure of Good Faith Estimates and Truth in Lending Estimates: \_\_\_\_\_

5) Does the applicant know of any violations (or potential violations) of laws in the following areas:  
 Real Estate Settlement Procedures Act       Yes    No.  
 Truth in Lending Legislation       Yes    No.  
 Equal Credit Opportunity       Yes    No.

Total Annual Funded Volume \$ \_\_\_\_\_

% Conventional	vs	% Government
% 1st Mortgages	vs	% 2nd Mortgages
% Owner Occupied	vs	% Non-owner Occupied
% Purchase	vs	% Refinance
% Conforming	vs	% Non-conforming